

### 1. ADMINISTRATIVE INFORMATION

Name of Submitting Party:				
Company Name:				
Phone	(    )	-	Fax	(    ) -
Email Address:				
Street				
City:			Province / State:	
Country:			Postal / Zip Code:	

### 2. STUDY IDENTIFICATION

Sponsor of the Research:	
Protocol Number:	(If there is no Protocol Number check here: <input type="checkbox"/> )
Protocol Title:	

### 3. STUDY ENROLMENT

- Study not yet initiated:
  - Funding issues
  - Other: \_\_\_\_\_
- Study is dormant:
  - Funding issues
  - Accrual problems (see recruitment difficulties below)
  - Other: \_\_\_\_\_
- Enrolment open and is at: \_\_\_\_\_ percent of projected enrolment.
- Enrolment closed – experimental procedures continue.  
 Date last Participant enrolled:      /      /       
DD      MM      YYYY
- Enrolment closed – follow-up only. No further experimental procedures.  
 Date last Participant enrolled:      /      /       
DD      MM      YYYY

Projected Study Closure Date:      /      /       
DD      MM      YYYY

Number of Study Sites participating in Study under the jurisdiction of the Veritas IRB:	
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Number of Study Sites under the jurisdiction of the Veritas IRB withdrawn from Study: (do not include screen failures)	
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If any, please provide reasons for Site withdrawal:

Total number of Participants enrolled into Study at the Site(s) under the jurisdiction of the Veritas IRB:	
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Total number of Participants withdrawn from Study at the Site(s) under the jurisdiction of the Veritas IRB :	
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- |  |   |
|--|---|
| If any, please provide reasons for Participant withdrawal: | <ul style="list-style-type: none"> <li><input type="checkbox"/> Lack of Efficacy</li> <li><input type="checkbox"/> Adverse Event(s)</li> <li><input type="checkbox"/> Lost to Follow-Up (unable to contact Participant)</li> <li><input type="checkbox"/> Withdrew consent (Specify if any reason given): _____</li> <li><input type="checkbox"/> Other (Specify): _____</li> </ul> |
|--|---|

#### 4. STUDY PROTOCOL

What is the date of the Study Protocol currently in use, including any Amendments?

Study Protocol: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MM YYYY

Last Amendment: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MM YYYY

Has there been any new information since the last approval which may impact the design of the Study Protocol (e.g. new scientific advances in this field of research, new relevant literature on this Study or the Investigational Product, interim research findings)?

Yes  No

If yes, please provide details and/or copies to the IRB:

#### 5. INVESTIGATOR'S BROCHURE

What is the date of the Investigator's Brochure currently in use, including any Amendments?

Investigator's Brochure: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MM YYYY

Last Amendment: \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MM YYYY

N/A (e.g. no product involved, approved product, medical device)

#### 6. SAFETY AND COMPLAINTS

Since the last IRB review, has there been any suspected Non-Compliance (see attached glossary)?

*If yes, attach a summary describing the nature of the suspected Non-Compliance.*

Yes  No

Since the last IRB review, has there been any other relevant information regarding this Study, especially information about risks associated with the Study or Study Product which may affect the willingness of Research Participants to continue to take part in the Study?

*If yes, attach a copy or summary of this information.*

Yes  No

How many unexpected and related Serious Adverse Events were experienced in this Study at the Site(s) under the jurisdiction of the Veritas IRB in total?

How many unexpected and related Serious Adverse Events were experienced in this Study at the Site(s) under the jurisdiction of the Veritas IRB since last approval?

#### 7. STUDY MONITORING

Is this Study supervised by a Data Safety Monitoring Board (DSMB)?

Yes  No

If yes, has the DSMB conducted a review of this Study that has not yet been submitted to the IRB?

*If yes, please provide a copy of their Evaluation Letter(s).*

Yes  No

Since the last IRB review, did the Sponsor monitor the Study?

*If yes, please provide details of the monitor's major findings, if any.*

Yes  No

Have there been any Multi-Center Trial Reports?

*If yes, attach a copy of all Multi-Center Trial Reports.*

Yes  No

I certify that I have submitted all the required documentation and that the above information is accurate and truthful to the best of my knowledge.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_ / \_\_\_\_ / \_\_\_\_  
DD MM YYYY